

## UoM- QUESTIONS BANK

**TY BMS (Finance) Sem : V Sub : Wealth Management**

### **Chapter : 1. Introduction to Wealth Management**

- 1. Choose the correct alternative and rewrite the sentence :**
  - i. \_\_\_\_\_ is defined as a relationship between an advisor and individual or a household. (Oct. 18)
    - a) Wealth management
    - b) Investment management
    - c) Financial Advisory
    - d) None of the above
  - ii. Wealth management include \_\_\_\_\_. (Oct.18)
    - a) Wealth accumulation and development
    - b) Wealth Protection
    - c) Tax minimization Strategies
    - d) All of the above
- 2. State whether the following statements are true or false :**
  - i. Wealth manager usually in fiduciary position when managing monies of their clients especially in portfolio management service. (Oct.18)
  - ii. Inflation rate has direct impact on wealth creation . (Oct.18)
- 3. Theory Questions :**
  - i. What is Wealth management? Explain need of Wealth management . (Oct.16)
  - ii. Discuss the code of Ethics for a wealth manager. (April.17)
  - iii. Explain in brief the challenges in wealth management. (Oct.17)
  - iv. Explain in brief the code of Ethics to be followed in Wealth Management . (Oct.17)
  - v. Briefly describe the challenges in wealth management. (Oct.18)
  - vi. Scope of wealth management. (Oct.18)
  - vii. What is Wealth management? Explain its scope in brief. (Oct.19)